

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

**INFORMATION DISCLOSURE
STATEMENT**

Docket Number:
2390/468082

Application Number
10/618,182

Filing Date
July 11, 2003

Examiner
Dawson, Glenn

Art Unit
3731

Invention Title
STENT

Inventor(s)
Jacob RICHTER et al.

Address to:
Mail Stop RCE
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop RCE, Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on:

Date:

March 7, 2005

Signature:

Cliff Ulrich

Clifford A. Ulrich (Reg. No. 42,194)

1. In accordance with the duty of disclosure under 37 C.F.R. § 1.56 and in conformance with the procedures of 37 C.F.R. §§ 1.97 and 1.98 and M.P.E.P. § 609, attorneys for Applicants hereby bring the attached references to the attention of the Examiner. These references are listed on the attached modified PTO Form No. 1449. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.
2. The filing of this Information Disclosure Statement and the attached PTO Form No. 1449, shall not be construed as an admission that the information cited is prior art, or is considered to be material to patentability as defined in 37 C.F.R. § 1.56(b).
3. A copy of each patent, publication or other information listed on the modified PTO form 1449 is enclosed, unless otherwise indicated.
4. It is believed that no fees are due in connection with this Information Disclosure Statement. However, should any fees be due, the Commissioner is authorized to charge Deposit Account No. 11-0600 for such fees. A duplicate copy of this communication is enclosed for charging purposes.

Dated:

March 7, 2005

By:

Cliff Ulrich

Clifford A. Ulrich (Reg. No. 42,194)

KENYON & KENYON
One Broadway
New York, N.Y. 10004
(212) 425-7200 (telephone)
(212) 425-5288 (facsimile)

**SUPPLEMENTAL INFORMATION
DISCLOSURE STATEMENT
BY APPLICANT
PTO-1449**

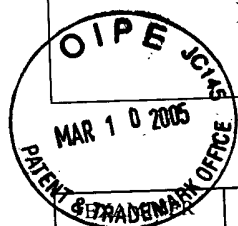
**ATTY. DOCKET NO.
2390/468082**

**SERIAL NO.
10/618,182**

**APPLICANT
RICHTER, Jacob et al.**

**FILING DATE
July 11, 2003**

**GROUP
3731**



U. S. PATENT DOCUMENTS

INITIAL	PATENT NUMBER	PATENT DATE	NAME	CLASS	SUBCLASS	FILING DATE*
	3,058,705	October 10, 1962	Hagg et al.			
	4,130,904	December 1978	Whalen			
	4,665,906	May 19, 1987	Jervis			
	4,795,458	January 3, 1989	Regan			
	4,800,882	January 31, 1989	Gianturco			
	4,820,298	April 11, 1989	Leveen et al.			
	4,829,767	May 16, 1989	Mecca			
	4,878,906	November 7, 1989	Lindemann, et al.			
	4,913,141	April 3, 1990	Hillstead			
	4,927,413	May 22, 1990	Hess			
	5,037,427	August 1991	Harada et al.			
	5,041,126	August 20, 1991	Gianturco			
	5,067,957	November 26, 1991	Jervis			
	5,282,823	February 1, 1994	Schwartz et al.			
	5,342,387	August 30, 1994	Summers			
	5,372,600	December 13, 1994	Beyar et al.			
	5,383,928	January 1995	Scott et al.			
	5,476,505	December 19, 1995	Limon			
	5,409,470	April 25, 1995	McIntyre et al.			
	5,522,882	June 04, 1996	Gaterud et al.			
	5,725,572	March 10, 1998	Lam et al.			
	5,824,045	October 20, 1998	Alt			
	5,922,020	July 13, 1999	Klein et al.			
	6,013,085	January 11, 2000	Howard			
	6,514,285	February 4, 2003	Pinchasik			

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	63-230158	September 26, 1998	JP			X***	
	1-230375	September 13, 1989	JP			X*	
	4-47417	February 17, 1992	JP			X*	
	4-47457	February 12, 1992	JP			X*	
	4-207935	July 29, 1992	JP			X*	
	0 282 175	September 14, 1988	EP				
	0 518 704	December 16, 1992	EP				

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	845818	July 15, 1981	Russia				X**
	1 101 455 A2	May 23, 2001	EP			X*	
	2 671 482	July 17, 1992	FR			X	
	PI 9,102,523	October 22, 1991	BR			X	
	PI 9,202,079	May 29, 1992	BR				

X* English language Abstract only provided.

X** English translation is not provided. Reference was cited in U.S. Serial No. 08/297,275, which is relied upon for an earlier filing date under 35 U.S.C. 120.

X*** EP 282,175, and US 5,041,126 are cited as English-language counterparts.

OTHER DOCUMENTS

EXAMINER INITIAL		AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.
		Nakamura et al., ASAIO Transaction, "Novel Airway Stent Using a Thermal Shape-Memory Ti-Ni Alloy", pp. M319-M321, July/Sept. 1991.

EXAMINER	DATE CONSIDERED
EXAMINER: Initial if citation considered, whether or not citation is in conformance with M.P.E.P. 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	



RCE
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U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

REQUEST FOR CONTINUED EXAMINATION (RCE)
TRANSMITTAL FORM (37 C.F.R. § 1.114)

DOCKET NO. 2390/468082	APPLICATION SERIAL NO. 10/618,182	EXAMINER Glenn Dawson	ART UNIT 3731
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INVENTOR: **Jacob RICHTER et al.**

Address to:

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Commissioner for Patents
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Date:

March 7, 2005

Reg. No. 42,194

Signature:

Clifford A. Ulrich

Clifford A. Ulrich S.E.I. Submitter

This is a **Request for Continued Examination** under 37 C.F.R. § 1.114 (RCE) of pending application Serial No. **10/618,182**, filed on July 11, 2003, entitled **STENT**.

The following constitute the submission **required** by 37 C.F.R. § 1.114(a) and is attached:

- ☒ Amendment
☒ Information Disclosure Statement and Form PTO-1449
☐ Drawing Changes
☐ Other Submission: _____

1. The filing fee for this RCE and the required amendment/submission is calculated below. The fee below is calculated based on the status of the claims after the entry of the attached amendment/submission. The fee for any new additional claims is included with this RCE, the fee for previously entered additional claims having already been paid.

	CLAIMS REMAINING AFTER AMENDMENT	MINUS	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT NUMBER EXTRA*	RATE (\$) PER CLAIM	FEE (\$)
BASIC FEE						790.00
TOTAL CLAIMS	22	-	20	0	50.00	100.00
INDEPENDENT CLAIMS	3	-	3	0	200.00	0.00
MULTIPLE DEPENDENT CLAIM					390.00	
				*Number extra must be zero or larger	TOTAL	890.00
If Applicant is a small entity under 37 C.F.R. §§ 1.9 and 1.27, then divide total fee by 2, and enter amount here.						SMALL ENTITY TOTAL

03/11/2005 JBALINAN 00000079 110600 10618182

01 FC:1801 790.00 DA

2. Please charge the required RCE and submission filing fee of \$890.00 to the deposit account of **Kenyon & Kenyon**, deposit account number **11-0600**.
3. The Commissioner is hereby authorized to charge payment of the fees, including any additional fees required, associated with this communication or arising during the pendency of this application, or to credit any overpayment, to the deposit account of **Kenyon & Kenyon**, deposit account number **11-0600**.
4. A duplicate copy of this transmittal form is enclosed.

Dated:

March 7, 2005

By: Respectfully submitted,

Cliff Ulrich

Clifford A. Ulrich
KENYON & KENYON
One Broadway
New York, New York 10004
(212) 425-7200 (telephone)
(212) 425-5288 (facsimile)